



Republic of the Philippines  
Department of Social Welfare and Development  
Kapit-Bisig Laban sa Kahirapan  
Comprehensive and Integrated Delivery of Social Services



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**MS. MA. VICTORIA E. AÑONUEVO**

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Millennium Challenge Account-Philippines  
2<sup>nd</sup> Floor, Development Academy of the Philippines Bldg.,  
San Miguel Avenue, Ortigas Center, Pasig City

Dear **Ms. Añonuevo**:

We are pleased to provide you the Kalahi-CIDSS Millennium Challenge Corporation (KC-MCC) Project Accomplishment Report for the 4<sup>th</sup> Quarter of FY 2013, viz:

1. Physical and Financial Accomplishment Report
2. Gender Mainstreaming Status Report
3. Environment and Social Safeguards Report

We hope you find these documents in order.

Thank you.

Very truly yours,

**CAMILO G. GUDMALIN**

Assistant Secretary and  
Deputy National Project Director, CDDP  
Kalahi-CIDSS Project

Cc: Mr. Andy Moll, Mr. Rogelio Borbon and Mr. Jansen Mayor

**Department of Social Welfare and Development (DSWD)  
Kalahi-CIDSS Millennium Challenge Corporation Project**

**FOURTH QUARTER 2013 PROJECT ACCOMPLISHMENT REPORT<sup>1</sup>**

**I. Total Project Reach to Date**

The Kalahi-CIDSS Project under the Millennium Challenge Corporation (MCC) Grant has covered 160 municipalities comprising 3,764 barangays. These municipalities are either classified as part of the Makamasang Tugon (MT), Guaranteed, Cross-over and Randomly Selected municipalities. The distribution of Project areas by phase and the number of municipalities and barangays covered are shown in Table 1 below.

**Table 1. Area Coverage**

<b>Municipal Class</b>	<b>No. of Municipalities</b>	<b>No. of Barangays</b>
Makamasang Tugon	58	1,301
Guaranteed	19	431
Cross-Over	9	243
Randomly Selected	74	1,789
<b>Total</b>	<b>160</b>	<b>3,764</b>

**II. Implementation Progress per KC Project Component**

**2.1 Social Preparation, Capacity Building and Implementation Support Activities**

2.1.1 Status of CEAC Implementation

Below is the progress of covered municipalities along Community Empowerment Activity Cycle (CEAC) major milestones.

**Table 2. Status of Municipalities in CEAC**

<b>Municipal Class</b>	<b>Municipal Orientation</b>	<b>CEAC Milestones</b>					
		<b>PSA</b>	<b>MIBF-CSW</b>	<b>PDW</b>	<b>MIBF-PDPRA</b>	<b>SPI</b>	<b>AR</b>
<b>MT</b>	58	58	58	58	58	58	34
<b>Guaranteed</b>							
Cycle 1	19	19	19	19	19	19	15
Cycle 2	18	18	15	15	14	8	2
Cycle 3	1	1	1	1	--	--	--
<b>Cross-Over (Besao)</b>							
Cycle 2	1	1	1	1	1	1	1
Cycle 3	1	1	1	1	1	1	1
<b>Cross-Over</b>							
Cycle 2	3	1	--	--	--	--	--
<b>Randomly Selected</b>							
Cycle 1	74	74	74	74	74	74	60
Cycle 2	61	58	56	52	41	12	--

<sup>1</sup> Cumulative progress as of December 2013.



Under the Makamasang Tugon modality, 34 of the 58 covered municipalities have completed the CEAC process from social preparation stage up to accountability reporting and post-KC transition planning. Remaining municipalities are still completing their community sub-projects and end-of-cycle activities.

In the guaranteed municipalities, by the end of the quarter, majority (16 of the 19) still have community sub-projects for completion under cycle 1. In addition, 18 of these municipalities are also implementing cycle 2. Eight (8) municipalities have completed social preparation activities and are currently in the sub-project implementation stage. The municipality of Torrijos in Marinduque is ahead of the batch implementing cycle 3 social preparation activities.

For the crossover municipalities, the municipality of Besao in Mountain Province started its cycle 2 implementation under KC MCC in July of 2011 together with the MT and guaranteed municipalities. The municipality completed cycle 2 and 3 in September 2012 and November 2013 respectively. The other 8 crossover municipalities (cycle 2 to be funded under KC MCC) are still completing cycle 1 under KC Additional Financing; three (3) have conducted Municipal Orientation for cycle 2.

In the randomly selected municipalities, cycle 1 implementation in the 74 municipalities is in the last stages of CEAC. All municipalities are in the sub-project implementation and 60 of them have conducted accountability reporting. In addition, 61 municipalities have started cycle 2; of which, 12 municipalities are implementing sub-projects.

### 2.1.2 Participation of community members in KC implementation

Participation among households taking part in different KC barangay assemblies ranges from 54% to 70%; high participation from women can be observed across all BAs. As a CDD project, KC trains and engages communities together with their local governments in the process of identifying, prioritizing and implementing development projects to address their most pressing needs. To date, the Project has mobilized and trained a total of 140,137 community volunteers and barangay local government units. Of the total, 58% are women and 44% are men. Participation of women in KC organized committees is both high in terms of membership and those holding leadership positions.

In addition, the Project has provided employment in sub-project implementation to a total of 42,153 individuals, or equivalent to PhP 113.184 Million worth of labor.

**Table 3. Community Participation**

	Household Participation	Women	Men
<b>Barangay Assemblies (Overall)</b>	<b>66%</b>	<b>62%</b>	<b>38%</b>
First	68%	63%	37%
Second	70%	62%	38%
Third	68%	61%	39%
Fourth	64%	61%	39%
Fifth	54%	62%	38%
<b>Community Volunteers Trained</b>		81,796 (58%)	58,341 (42%)
<b>KC Organized Committees</b>			
<b>Membership</b>		70,191 (60%)	47,191 (40%)
<b>Leadership</b>		11,605 (51%)	11,151 (49%)
<b>Employment (During SPI)</b>			
<b>Workers</b>		4,015 (10%)	38,138 (90%)



	Household Participation	Women	Men
<b>Labor Cost</b>		PhP 5.848 Million	PhP 107.336 Million

Community volunteers were provided training and technical assistance from the social preparation to all other stages in the CEAC Process. From 7,937 community and municipal trainings conducted in the previous quarter, 1,077 additional trainings were conducted in the 4<sup>th</sup> quarter, for a cumulative total of 9,014.

**Table 4. KCMCC Community and Municipal Trainings**

Trainings Conducted	Total No. of Trainings Conducted (as of 3 <sup>rd</sup> Q)	No. of Trainings Conducted (during the 4 <sup>th</sup> Q)	Total No. of Trainings Conducted (as of 4 <sup>th</sup> Q)
<b>Community Trainings</b>			
Barangay Accountability Review	1,043	91	1,134
Participatory Community Monitoring	866	306	1,172
Barangay Participatory Situational Analysis	3,487	494	3,981
Others	985	0	985
<b>Sub-total</b>	<b>6,381</b>	<b>891</b>	<b>7,272</b>
<b>Municipal Trainings</b>			
Municipal Accountability Review	66	9	75
Criteria Setting Workshop	160	26	186
Community Finance	186	0	186
Community Infrastructure	65	24	89
Operation And Maintenance	94	9	103
Organizational Development and Management	94	0	94
Project Development Workshop	178	21	199
MIBF-Participatory Resource Allocation	106	26	132
Community Procurement	145	11	156
Municipal Participatory Situational Analysis	129	21	150
Sustainability Planning Workshop	25	2	27
Others	308	37	345
<b>Sub-total</b>	<b>1,556</b>	<b>186</b>	<b>1,742</b>
<b>TOTAL</b>	<b>7,937</b>	<b>1,077</b>	<b>9,014</b>

## 2.2 Provision of Grants for Community Implementation of Sub-Projects

For the 4<sup>th</sup> quarter, 157 community sub-projects were prioritized amounting to PhP 201.259 Million. This brings the total number of funded community sub-project to 1,923 amounting to PhP 2.258 Billion that will benefit approximately 386,263 households in 1,957 barangays.

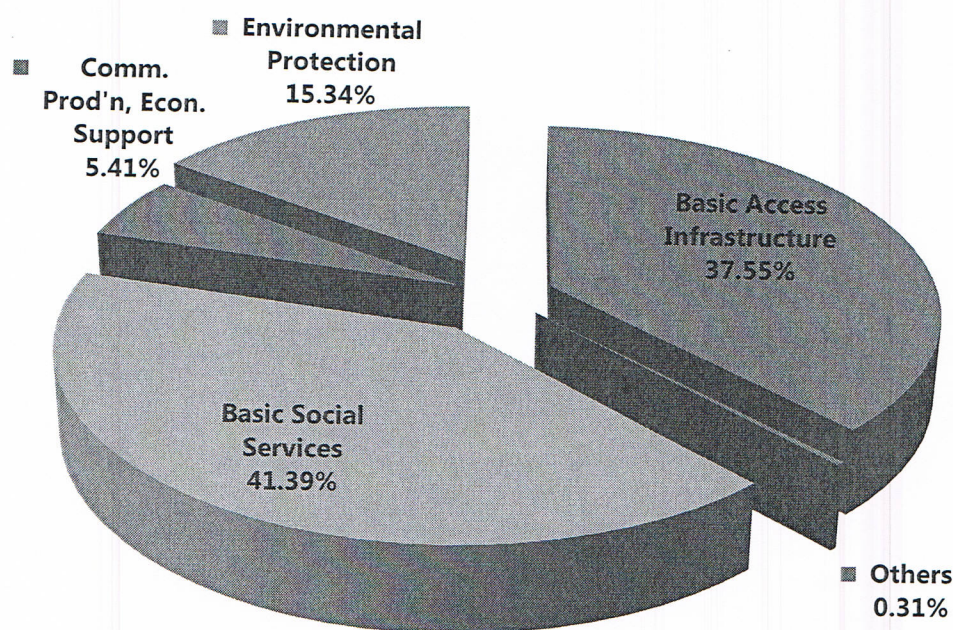
### 2.2.1 Sub-projects (types) funded in response to community prioritized needs

Below are the major sub-project types funded and the percentages of the total KCMCC grant allocated on each type:



- **Basic social services:** community water systems, school buildings, day care centers, barangay health stations, electrification, and tribal housing/shelter **(37.16%)**;
- **Basic access infrastructure:** access roads, small bridges/footbridges and access trails **(44.40%)**;
- **Community production, economic support and common services facilities:** community economic enterprise training, equipment and materials support sub-projects, pre- and post-harvest and multi-purpose facilities, and small scale irrigation **(4.77%)**;
- **Environmental protection and conservation:** drainage, river/flood control, sea wall, artificial coral reef sanctuary and sanitation facilities **(13.43%)**; and
- **Others:** feasibility studies, community library and information center and skills training **(0.25%)**

A breakdown of the community sub-projects funded by type is provided in Annex 1 attached to this Report.



**Figure 1. Distribution of Community Sub-Projects Funded by Type**

#### 2.2.2 Status of community sub-projects funded

In the same period, a total of 71 community sub-projects were completed amounting to PhP 88.536 Million. To date, 1,242 or 65% of the total funded sub-projects are completed; amounting to PhP 1.189 Billion benefiting approximately 263,963 households in 1,262 barangays. Of the total on-going sub-projects, 44% (212) have below 30% physical accomplishments.

**Table 5. Status of Completion of Community SPs**

Municipal Class	Cycle	Funded SPs	Completed	90%-99%	30%-89%	Below 30%
Makamasang Tugon	MT	838	818	8	12	0
Guaranteed	1	224	169	15	30	10
	2	90	10	5	8	67
Cross-over (Besao)	2	12	12	0	0	0



Municipal Class	Cycle	Funded SPs	Completed	90%-99%	30%-89%	Below 30%
	3	12	12	0	0	0
Randomly Selected	1	658	221	93	213	131
	2	89	0	0	0	89
<b>Total</b>		<b>1,923</b>	<b>1,242</b>	<b>121</b>	<b>263</b>	<b>297</b>

### 2.2.3 Sub-projects cost sharing from communities and LGUs

Community sub-projects are funded through cost sharing arrangements. The community and local government unit (LGU) counterparts, both in cash and in kind, make up for about 24% of the total cost. To date, the total Php 1.716 Billion KCMCC funding for community sub-projects was matched with Php 542.209 Million in local counterpart contributions from LGUs and communities.

## 2.3 KC Monitoring and Evaluation

### 2.3.1 Inventory of Grievances and Problems, Issues, Concerns and Observations (PINCOs).

A total of 2,231 grievances and PINCOs have been entered in the GRS database, 483 of which were tagged as regular grievances while 1,746 were PINCOs. About ninety-six percent (95.4%) of all regular or filed grievances have been successfully resolved.

**Table 6. Number of Grievance and PINCOs entries by Type**

Grievance Types	Regular Grievances	PINCOs	Total
<b>Type A</b>	19	240	259
<b>Type B</b>	28	33	33
<b>Type C</b>	3		1
<b>TOTAL</b> (4 <sup>th</sup> Quarter 2013)	50	245	295
<b>TOTAL</b> (3 <sup>rd</sup> Quarter 2013)	72	376	448
<b>GRAND TOTAL</b> (since 2011)	485	1,746	2,231
<b>TOTAL RESOLVED</b>	<b>463</b>		
<b>% RESOLUTION</b>	<b>95.4%</b>		

Compared to the previous quarter, a decrease in the number of the reported grievances and PINCOS was received. This may be due to the recent disasters that occurred in MCC areas in regions VI, VII and VIII that focused staff efforts to disaster response. CAR and Region V had the most number of records with 175 and 75, respectively.

Table 10 below shows the breakdown of GRS database entries and the top 5 categories of concerns per region for the quarter

**Table 7. Number of Regular/Filed Grievances and PINCOs by Region**

Region	Regular Grievances	PINCOs	TOTAL	Categories of Concerns (Top 5)	Freq.
CAR	6	169	175	KC Process, Design and Guidelines	108
				Community Participation	33
				TA/support, info dissemination	6
				Administrative	5
				Financial Management	3



Region	Regular Grievances	PINCOs	TOTAL	Categories of Concerns (Top 5)	Freq.
IV-B	4	10	14	CEAC Schedule/Timeline	2
				Community Participation	2
V	16	59	75	KC Process, Design and Guidelines	27
				Community Participation	13
				RFR Processing	6
				CEAC Schedule/Timeline	3
				Social and Environment Safeguard	3
				Financial Management	3
VI	11	2	13	Administrative	7
				TA/support, info dissemination	2
VII	10	2	12	Administrative	7
				Financial Management	2
VIII	3	3	6	Administrative	4
<b>TOTAL</b>	50	245	295	KC Process, Design and Guidelines	137
				Community Participation	27
				Administrative	26
				TA/support, info dissemination and capacity	10
				RFR Processing	9

Most of the regular grievances fall under Type B with 28, followed by Types A and C with 19 and 3, respectively. Most of PINCOs reported were Type A or clarifications regarding the project and other non-contentious inquiries.

### 2.3.2 Ongoing Grievances

For this quarter, there are six (6) ongoing grievances which all fall under Type B. Region IV-B and VII both have 2 ongoing grievances while CAR and VI have one entry each. These grievances concern the following: Administrative/HR (1), O&M/Sustainability (2), Salary and allowances (1), and TA/support, info dissemination and capacity building (1), Financial Management (1).

## III. Gender, Environment & Social Safeguards

### 3.1 Gender Mainstreaming Initiative

#### 3.1.1 Capability Building Program for the KC Municipal GAD Focal Persons (MGADFPs)

Considering the significant role of MGADFPs in providing technical assistance in integrating gender in the CEAC process and as the lead person in implementing the Gender Incentive Grant (GIG), a Gender and Development training was initiated, consisting of 3 modules: (i) Gender Sensitivity Training; (ii) Gender Analysis; and (iii) Gender Responsive Planning and Budgeting. For this quarter, three (3) batches of GAD training was completed; 1 in FO CAR and 2 in FO VIII.

#### 3.1.2 Implementation of Gender Incentive Grant (GIG)

The GIG pilot implementation in Madalag, Aklan and Torrijos, Marinduque was completed by the end of the year. Below are the GIG interventions identified and prioritized by the communities.



**Table 8. GIG Interventions in Pilot Municipalities**

<b>Municipality/ Province</b>	<b>GIG Intervention</b>	<b>Amount</b>
Madalag, Aklan	Skills Training Towards Women Economic Empowerment  In addition to handicraft making, the training include non-traditional skills training on welding and plumbing with a total of 102 graduates	PhP 762,360.75
Torrijos, Marinduque	Improvement of Maternal and Child Care Project component includes (i) capacity building for 153 community health volunteers and (ii) provision of medical equipment/apparatus for 25 barangay health stations.	PhP 3,209,250.00

### 3.1.3 Documentation of GIG Pilot implementation

Convergence of the anti-poverty program of the DSWD called "Tatsulo" was noted in the GIG pilot implementation in Madalag. The GIG became a mechanism on the harmonization of these programs to better respond to the needs of the people. The trained women on welding will be involved in the construction of 6 rest rooms in a 3-storey school building prioritized in the MIBF. In the same manner, the women welders will be engaged in the construction of a bridge. For the graduates of the handicraft, the women are starting to take orders on abaca twine. The Municipal Action Team (MAT) of Madalag are working together to help these women become economically productive.

### 3.2 Environment Safeguards

On the Thematic Environment Management System (TEMS), the contractor, RCM, has submitted the final version of the manuals and field guides. RCM delivered twenty (20) sets of the technical manuals and field guides to MCA-P per contract document. MCA-P shall effect the mass production of additional 120 sets of the materials for distribution to the KC field offices, down to the municipalities.

<b>Region</b>	<b>Total Number of SPs</b>	<b>EMMP</b>	<b>ECC</b>	<b>CNC</b>	<b>Non Infrastructure (N/A)</b>
CAR	147	147	0	2	0
IV-B	221	218	0	29	3
V	328	327	0	221	1
VI	213	213	1	25	0
VII	352	352	0	0	0
VIII	662	662	0	117	0
<b>Total</b>	<b>1,923</b>	<b>1919</b>	<b>1</b>	<b>394</b>	<b>4</b>



Majority of community sub-projects funded by KC are non-ECP (environmentally critical projects) or non-ECA (located in environmentally critical areas), which fall under the non-coverage criteria of Environmental Impact Assessment (EIA). In compliance with the Department of Environmental and Natural Resources (DENR) Matrix of Sub-Project Groupings, KC sub-projects are required to prepare and submit Environmental Management and Monitoring Plan (EMMP); however, communities are encouraged to secure additional documents such as Environmental Compliance Certificate (ECC) and Certificate of Non-Coverage (CNC).

From the total sub-projects funded as of the end of December 2013, 1,919 have EMMP. The remaining are non-infrastructure sub-projects which are not required to submit such plan.



#### IV. Financial Status

**Table 9. KCMCC Grant Utilization Status**

Project Component	Total Target (MYFP) Amount	Cumulative Accomplishment as of 3 <sup>rd</sup> Qtr 2013			Accomplishment this 4 <sup>th</sup> Qtr 2013			Cumulative Accomplishment as of this Report			Target for the Next Qtr.	
		Amount	% of Total Target	Variance	Target	Actual	Variance	Amount	% of Total Target	Variance	Amount	% of Total Target
CBIS	456,660,000.14	288,582,731.23	63.19%	168,077,268.91	31,151,667.36	31,525,817.76	(374,150.40)	320,108,548.99	70.10%	136,551,451.15	45,791,086.00	10.03%
Community Grants	4,106,929,999.64	1,292,862,822.15	31.48%	2,814,067,177.49	307,924,980.88	133,981,923.55	173,943,057.33	1,426,844,745.70	34.74%	2,680,085,253.94	441,553,220.24	10.75%
Program Management	596,410,000.67	173,003,674.03	29.01%	423,406,326.64	32,810,437.88	18,181,354.08	14,629,083.80	191,185,028.11	32.06%	405,224,972.56	31,262,563.20	5.24%
<b>Total</b>	<b>5,160,000,000.44</b>	<b>1,754,449,227.41</b>	<b>34.00%</b>	<b>3,405,550,773.03</b>	<b>371,887,086.12</b>	<b>183,689,095.39</b>	<b>188,197,990.73</b>	<b>1,938,138,322.80</b>	<b>37.56%</b>	<b>3,221,861,677.65</b>	<b>518,606,869.44</b>	<b>10.05%</b>

The total disbursement to date for CBIS is Php 320 Million representing 70% of the total target for 5 years. The Community Grant and Project Management disbursement amounting to Php 1,426 Million and Php 191 Million disbursement, respectively represents 34% and 32% of their target.



## **V. Plans for the 1<sup>st</sup> Quarter 2014**

Below is the list of the Project's upcoming activities:

### **5.1 Project Management**

- Management Meeting and MATA Cluster Planning
- National Project Review and Evaluation (PREW) Workshop and Planning for 2014

### **5.2 Monitoring and Evaluation**

- Enhancement of the KC Municipal Talakayan Design
  - Validation Workshop for the Draft Talakayan Design
- Finalization and Harmonization of KC Forms
- National Monitoring and Evaluation (M&E) Conference
- Grievance Redress System (GRS) Consultation Workshop
- Development of KC Database System
  - Systems specification building
  - Conduct of consultations with the NPMO Project Staff and Management on the system requirements and field visit in project area to familiarize the developer on the current set-up of the information retrieval and reporting system

### **5.3 Gender**

- Development and launching of 2 gender knowledge tools:
  - GIG pilot implementation (Madalag, Aklan and Torrijos, Marinduque)
  - Conduct of gender-based story writeshop to generate and develop best practice stories on gender mainstreaming (all KC areas)
- Conduct of GIG National Inter-Municipal Forum (NIMF) for 18 guaranteed municipalities.

### **5.4 Environment and Social Safeguards**

- National Forum on Initial Gains in Environmental Management
- Environmental Audit (Community Sub-Projects adherence to EMMP)



# Annex 1

## Breakdown of Community Sub-Projects Funded by Kalahi-CIDSS Millennium Challenge Corporation As of December 2013

Sub-Project Type	No. of SPs	No. of Brgys	No. of Direct HH Beneficiaries	Actual Community Sub-Project Cost		
				Total Project Cost	KC Grant	LCC
<b>1. Basic Access Infrastructure Sub-Projects</b>	<b>722</b>	<b>738</b>	<b>139,204</b>	<b>994,696,424</b>	<b>761,757,636</b>	<b>232,938,787</b>
Access Trail / Footpath	237	242	33,978	270,202,421	204,712,475	65,489,946
Foot / Small Bridge	66	67	11,948	89,067,519	69,973,319	19,094,200
Road	419	429	93,278	635,426,484	487,071,843	148,354,641
<b>2. Basic Social Services</b>	<b>796</b>	<b>810</b>	<b>171,140</b>	<b>839,393,929</b>	<b>637,531,375</b>	<b>201,862,554</b>
Day Care Center	136	136	22,070	103,305,962	77,712,725	25,593,236
Electrification	20	20	2,792	17,455,412	9,397,500	8,057,912
Health Station	117	117	27,382	92,026,423	70,058,566	21,967,857
School Building	287	291	67,306	313,220,335	243,411,065	69,809,270
Tribal Housing / Shelter	1	1	157	4,108,485	3,174,623	933,862
Water System	235	245	51,433	309,277,312	233,776,896	75,500,416
<b>3. Community Production, Economic Support and Common Service Facilities</b>	<b>104</b>	<b>105</b>	<b>19,802</b>	<b>109,691,380</b>	<b>81,853,135</b>	<b>27,838,245</b>
Community Economic Enterprise Training, Equipment & Materials Support Sub-Projects	32	32	7,429	46,545,645	36,068,575	10,477,070
Community Transport	2	2	316	1,040,760	711,732	329,028
Multi-Use Building / Facility	10	10	2,056	8,717,093	5,974,602	2,742,490
Pre and Post Harvest Facilities	41	41	7,293	39,145,299	28,561,645	10,583,653
Small Scale Irrigation	19	20	2,708	14,242,584	10,536,580	3,706,003
<b>4. Environmental Protection &amp; Conservation Sub-Projects</b>	<b>295</b>	<b>298</b>	<b>54,429</b>	<b>309,100,268</b>	<b>230,400,010</b>	<b>78,700,258</b>
Drainage	161	162	32,008	131,288,407	97,921,799	33,366,608
Environment Conservation (Artificial Coral Reefs / Marine Sanctuary)	1	1	283	615,800	502,100	113,700
River / Flood Control	49	50	7,957	67,241,190	50,297,616	16,943,574
Sanitation / Solid Waste Mgt Facilities	25	25	1,830	20,983,939	13,914,811	7,069,128
Sea Wall	20	20	2,783	28,914,040	20,726,141	8,187,900
Soil Protection (Riprap)	39	40	9,568	60,056,892	47,037,544	13,019,348
<b>5. Others (Feasibility Studies, Community Library and Skills Training)</b>	<b>6</b>	<b>6</b>	<b>1,688</b>	<b>5,133,713</b>	<b>4,264,627</b>	<b>869,086</b>
<b>Grand Total</b>	<b>1,923</b>	<b>1,957</b>	<b>386,263</b>	<b>2,258,015,714</b>	<b>1,715,806,783</b>	<b>542,208,931</b>